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23338 7590 03/19/2007

DENNISON, SCHULTZ & MACDONALD  
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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/812,920

03/31/2004

Satoru Kikuchi

04044

5700

TITLE OF INVENTION: LIGHT EMITTING DIODE DEVICE

04/17/2007 DENMANU2 00000001 10012920

01 FC:1501  
 02 FC:1504

1400.00 OP  
 300.00 OP

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	06/19/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
BENNETT, ZAHRA I	2875	362-300000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 DENNISON, SCHULTZ

2 & MACDONALD

3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

CITIZEN ELECTRONICS CO., LTD.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Yamanashi-ken, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee

☒ Publication Fee (No small entity discount permitted)

☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

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☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Ira J. Schultz*

Date

4/16/07

Typed or printed name

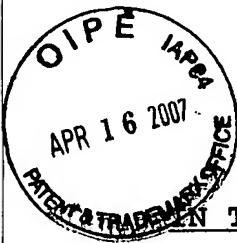
Ira J. Schultz

Registration No.

28666

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Dkt. 04044

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SATORU KIKUCHI et al

Serial No. 10/812,920

Filed: March 31, 2004

Group Art Unit: 2875

Examiner: Z. Bennett

MAIL STOP ISSUE FEE

For: LIGHT EMITTING DIODE DEVICE

COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicants have reviewed the Examiner's Statement of Reasons for Allowance, and believes that it should be changed to read as follows:

"The prior art fails to show and teach a light emitting diode device comprising a substrate, a lower reflection film, an LED, a resin layer encapsulating the LED, an upper reflection layer wherein the layer is formed by metal and can transmit a portion of the light rays, and light rays which are reflected by the lower reflection film are discharged in the forward direction passing through the upper reflection layer and through side walls of the resin layer, as claimed."

Respectfully submitted,

Ira J. Schultz  
Registration No. 28666